



06-29-06

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FORM 8-3 Express Mail Certificate

Navy Case No. 95801

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: M. Joseph Roberts, et.al.

Application No.: 10/699,440 Group No.: 2818

Filed: 10/29/2003 Examiner: Dung Anh Le

For: SURFACE IMPRINTED FILMS WITH CARBON NANOTUBES

EXPRESS MAIL CERTIFICATE

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Date of Deposit: June 21, 2006

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David Kalmbaugh
Signature of person mailing paper or fee

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JUN 26 2006

Effective 12/08/04.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEES TRANSMITTAL**FOR FY 2005** Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT**

\$130.00

Complete if known

| | |
|----------------------|----------------|
| Application Number | 10/699,440 |
| Filing Date | 10/29/2003 |
| First Named Inventor | ROBERTS et.al. |
| Examiner Name | |
| Art Unit | |
| Attorney Docket No. | 95801 |

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order None Other (please identify): _____

Deposit Account Deposit Account Number: 50-0931 Deposit Account Name: NAWCWD - Pt Mugu/ China Lake

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or any underpayment of fee(s) under CFR 1.16 and 1.17 Credit any overpayments

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| <u>Application Type</u> | <u>FILING FEES</u> | | <u>SEARCH FEES</u> | | <u>EXAMINATION FEES</u> | | <u>Fees Paid (\$)</u> |
|-------------------------|--------------------|------------------------------|--------------------|------------------------------|-------------------------|------------------------------|-----------------------|
| | <u>Fee (\$)</u> | <u>Small Entity Fee (\$)</u> | <u>Fee (\$)</u> | <u>Small Entity Fee (\$)</u> | <u>Fee (\$)</u> | <u>Small Entity Fee (\$)</u> | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

2. EXCESS CLAIM FEESFee DescriptionEach claim over 20 (including Reissues) Fee (\$) Small Entity Fee (\$)Each independent claim over 3 (including Reissues) Fee (\$) Small Entity Fee (\$)Multiple dependent claims Fee (\$) Small Entity Fee (\$)

| <u>Total Claims</u> | <u>Extra Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
|---------------------|---------------------|-----------------|----------------------|
| -20 or HP = | X | = | |

HP = highest number of total claims paid for, if greater than 20.

| <u>Indep. Claims</u> | <u>Extra Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
|----------------------|---------------------|-----------------|----------------------|
| -3 or HP = | X | = | |

HP = highest number of independent claims paid for, if greater than 3.

Multiple Dependent ClaimsFee (\$) Fee Paid (\$)**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 USC 411(a)(1)(G) and 37 CFR 1.16(s).

| <u>Total Sheets</u> | <u>Extra sheets</u> | <u>Number of each additional 50 or fraction thereof</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
|---------------------|---------------------|---|-----------------|----------------------|
| -100 = | / 50 = | (round up to a whole number) X | = | |

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Late Filing Surcharge (Declaration)

Fees Paid (\$)

\$130.00

SUBMITTED BY

(Complete if applicable)

| | | | | | |
|-------------------|--------------------|--------------------------------------|--------|-------------|----------------|
| Name (Print/Type) | David S. Kalmbaugh | Registration No. (Attorney/Agent) | 29,234 | Telephone | (805) 989-8266 |
| Signature | D. S. Kalmbaugh | | Date | 10 Apr 2006 | |

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This collection of information is required by 37 CFR 1.53(b). The information is required or obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

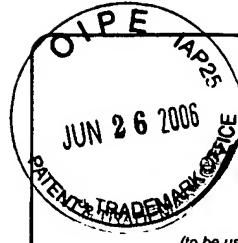
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PTO/SB/21 (08-003)

Approved for use through 07/31/2006. OMB 0651-0031
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TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

| | | | |
|--|----|------------------------|------------------|
| | | Application Number | 10/699,440 |
| | | Filing Date | 10/29/2003 |
| | | First Named Inventor | ROBERTS, et. al. |
| | | Art Unit | 2818 |
| | | Examiner Name | Dung Anh Le |
| Total Number of Pages in This Submission | 23 | Attorney Docket Number | 95801 |

ENCLOSURES (check all that apply)

| | | |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing - related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interference's |
| <input checked="" type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input checked="" type="checkbox"/> Affidavits / declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Other Enclosure(s) <i>(Please identify below)</i> |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Request for Refund | <input type="checkbox"/> Return Post Card |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| Remarks RESPONSE TO USPTO OFFICE ACTION OF MAY 8, 2006. | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|-------------------------|--------------------|--------|
| Firm or Individual Name | David S. Kalmbaugh | 29,234 |
| Signature | | |
| Date | June 26, 2006 | |

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Services with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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|-----------------------|--|------|
| Typed or Printed Name | | |
| Signature | | Date |

This collection of information is required by 37 CFR 1.53(b). The information is required or obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Appl. No. 10/699,440
Amdt. dated June 26, 2006
Reply to Office Action of May 08, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : M. Joseph Roberts et al. Conformation No. 3258
Examiner : Dung Anh Le
Art Unit : 2818
Docket No. : 95801
Serial No. : 10/699,440
Filed : 10/29/2003
Customer No.: 23572

For: SURFACE IMPRINTED FILMS WITH CARBON NANOTUBES

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

AMENDMENT UNDER 37 C.F.R. §1.121

Sir:

In response to the Office Action of May 08, 2006, please amend the above-identified application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims begin on page 3 of this paper.

Amendments to the Drawings begins on page 13 of this paper.

Remarks/Arguments begins on page 14 of this paper.